

# Progress Report – Audit Plan



As at 31 October 2015

Appendix 1

Audits	Auditor	No of Days	Days Remaining	Position with Audit
Key Financial Systems - See below for details	Sue Shipway / Julie Ball / Sarah Hornsby/Philippa Watts	40	4	On-going
Members Services	Julie Ball	10	9.5	Planning
PSN	Julie Ball / Sarah Hornsby	15	14.5	Planning
Housing Register	Sue Shipway	5	0	Draft Report
Project Managment	Philippa Watts	10	7	Draft Report
Building Control	Julie Ball	10	5	On-going
Security of Assets	Julie Ball	10	0	Final Report
Personnel and Recruitment pre-checking (Carried Forward from 2014-15)	Sue Shipway / Philippa Watts	25	5.5	Testing
Food Safety	Sarah Hornsby	15	13	Planning
Consultants Review	Sue Shipway	5	0	On-going
Housing Benefits	Sue Shipway/Sarah Hornsby	20	3.5	Testing
Other Audit Activities	Auditor	No of Days	Days Remaining	Position with Audit
Audit Reviews	Stephen James/Sue Shipway	15	3	On-going
Corporate Advice	Stephen James / Sue Shipway/ Julie Ball	20	19.5	On-going
Contingency (Seperate analysis available)	Stephen James / Sue Shipway /Philippa Watts/ Julie Ball	120	108	On-going
PSIAS	Stephen James/Sue Shipway	20	13.15	On-going
AGS + supporting evidence	Stephen James	30		On-going

NFI	Sue Shipway	20	15.5	On-going
Follow Ups	Stephen James / Sue Shipway/ Julie Ball	20	16	On-going
Completed Audits				
Safety Inspections - Zurich	Sarah Hornsby	10	0	Agreed Report
Car Parks	Julie Ball	18	17	Follow up only - Covalant updated
Development Management	Philippa Watts	15	0	Agreed Report
Fraud Review and IAS240	Sue Shipway	15	1	Agreed Report
Carried Forward	Sarah Hornsby & Julie Ball	15	15	
Inclusion in Key Financial Systems				
Creditors	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby			
Debtors	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby			
Payroll	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby			Planning
NNDR	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby	40 See Above		Testing
Council Tax	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby			
Bank Reconciliation	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby			
Budgetary Control	Sue Shipway / Philippa Watts / Julie Ball / Sarah Hornsby			